



**VCF Program Statistics**  
***(Represents activity through the end of the day on September 7, 2016)***

The December 18, 2015, statutory reauthorization of the VCF created two groups of claims – Group A and Group B. Group A claims are claims for which a loss was determined and a letter notifying the claimant of the award decision on the claim was issued on or before December 17, 2015. Group B claims are those that are not in Group A.

This report includes statistics on:

- The final accounting of Group A payments;
- Eligibility decisions for both Group A and Group B claims; and
- Compensation decisions for both Group A and Group B claims.

**GROUP A PAYMENTS**

The Special Master has authorized payment on all Group A claims. Although all payments have been authorized, a small number of Group A claimants have not yet received their actual payment because there are issues preventing the payment. The VCF continues to work closely with these claimants and their representatives to resolve the issues that prevent payment as quickly as possible. Any Group A claimant who has not yet received their payment (and who the VCF has not contacted about any issues preventing payment) should receive the payment in the coming weeks as the Department of Justice and Treasury Department do their part to issue the payment to the designated bank account.

Table 1 provides the final information on Group A payments. Although there are a total of 9,130 Group A claims (see Table 4 for additional information), only 9,094 were authorized for payment. The remaining 36 claims were for individuals who were fully compensated in the original VCF that operated from 2001-2004 and therefore were not entitled to receive any award in VCF2.

**Table 1: Final Accounting of Group A Payments**

| Payments Authorized                           | September 7, 2016  |
|---|--------------------|
| <b>Total Group A claims receiving Payment</b> | 9,094              |
| <b>Total Amount Authorized for Payment</b>    | \$1,822,713,312.33 |

**ELIGIBILITY CLAIMS**

As of September 7, 2016, the VCF has made 20,978 total eligibility decisions on Group A and Group B claims, finding 15,258 claimants eligible for compensation review.

To decide an individual's eligibility, the VCF must receive three types of information: (1) evidence that the claimant was present at the World Trade Center, the Pentagon, or the Shanksville, Pennsylvania, site; (2) evidence that the claimant suffered from, or died as a result of, an illness or injury directly related to the 9/11 crashes; and (3) a signed authorization giving the VCF authority to collect information necessary to process the claim. The VCF has received 23,255 Eligibility Forms, but only 22,320 of these claims can be decided at this time.<sup>1</sup> Of these, 20,978 (90 percent) have been decided. The undecided claims are being reviewed to confirm that all statutory requirements have been met.

<sup>1</sup> If a claimant provides evidence proving he or she definitively cannot meet any one of the statutory requirements, the claim is decided at that time regardless of whether or not the claimant has submitted information related to the other statutory requirements.



Table 2 shows the status of Eligibility Forms received by the VCF and comparative data, where available, from one year ago. Eligibility Forms for Deceased Individuals includes only those claimants who died of causes related to their 9/11 eligible condition.

**Table 2: Status of Eligibility Forms Received – Group A and Group B claims**

| <b>ELIGIBILITY FORMS</b>  | <b>September 2016</b> | <b>September 2015</b> |
|---|-----------------------|-----------------------|
| Total Eligibility Forms   | 23,255                | 20,622                |
| Personal Injury Claims  | 22,536                |                       |
| Claims for Deceased Individuals   | 719                   |                       |
| Eligibility Claims that can be Decided  | 22,320                | 14,618                |
| <b>Total Eligibility Decisions</b>  | <b>20,978</b>         | <b>13,818</b>         |
| <b>Eligibility Decisions – Approved</b>   | <b>15,258</b>         | 12,150                |
| Personal Injury Claims  | 15,034                | 12,025                |
| Claims for Deceased Individuals   | 224                   | 125                   |
| <b>Eligibility Decisions – Not Eligible</b>   | <b>5,720</b>          | 1,668                 |
| Personal Injury Claims  | 5,525                 | 1,650                 |
| Claims for Deceased Individuals   | 195                   | 18                    |
| Eligibility Reviews in Progress   | 1,342                 | 2,468                 |
| Claims on hold awaiting requested information from Claimant   | 935                   | 4,336                 |
| Claims missing signed Exhibit A – which allows the VCF to obtain information about eligible conditions  | 130                   | 337                   |
| Claims missing requested information regarding presence at a 9/11 site, lawsuit resolution, or additional medical documentation and/or claims pending WTC Health Program review | 792                   | 2,276                 |
| Claimants who do not appear to have an eligible condition and have not responded to requests for additional medical documentation   | 13                    | 1,723                 |

Table 3 provides information on the categories of conditions associated with the Eligibility decisions. The breakdowns are based on conditions certified or verified by the World Trade Center Health Program.

**Table 3: Eligibility Claims by Category of Condition (as of September 7, 2016)**

| <b>ELIGIBILITY FORMS</b>                     | <b>TOTAL</b> | <b>Claims with Cancer as the only Condition</b> | <b>Claims that do not include Cancer as a Condition</b> | <b>Claims with both Cancer and Non-Cancer Conditions</b> |
|--|--------------|---|---|--|
| <b>Eligibility Decisions – Approved</b>      | 15,258       | 1,761   | 11,299  | 2,198  |
| <b>Eligibility Decisions – Not Eligible*</b> | 1,178        | 173   | 913   | 92   |

\* This table does not include the 4,542 claims found not eligible where the VCF was unable to make a determination as to whether the claimant suffered from a 9/11-related condition.



## COMPENSATION CLAIMS

The information in this section of the report is separated into two sub-sections – one that provides statistics on Group A compensation claims and one specific to Group B claims.

### Group A Claims

Based on the requirements of the reauthorization statute, Group A claims are limited to those claims for which a loss determination was issued on or before December 17, 2015. Information on Group A claims was reported in the VCF’s April and July 2016 statistics reports. As noted in the July 2016 report, the VCF still had less than 10 Group A claims awaiting either a scheduled hearing or a post-hearing decision, and as a result, the Group A compensation amounts reported at that time were expected to change prior to the final closeout and reconciliation of Group A claims.

The VCF has accounted for all Group A claims and is finalizing the reconciliation of Group A payments. In doing the reconciliation, the VCF identified several claims with new information that changed the initial eligibility determination on the claim from approved to ineligible, thus requiring the rescission of the Group A award. In addition, the VCF completed the last remaining appeals for Group A claims. As a result, the information for Group A claims has changed slightly from what was reported in the VCF’s July 2016 statistics report.

These changes to the total dollar value of the Group A awards are therefore due to an increase in loss as a result of an appeal or a correction to a loss determination due to a VCF processing or computation error.

**Table 4: Final Compensation Decisions – Group A Claims  
(as of September 7, 2016)**

| COMPENSATION DECISIONS           | Total        | Dollar Value              |
|----------------------------------|--------------|---------------------------|
| <b>Decisions Issued</b>          | <b>9,130</b> | <b>\$1,822,713,312.33</b> |
| Personal Injury Claims           | 9,112        | \$1,813,317,861.77        |
| Claims for Deceased Individuals* | 18           | \$9,395,450.56            |
| Highest Amount                   |              | \$4,133,466.00            |
| Personal Injury Claims           |              | \$4,133,466.00            |
| Claims for Deceased Individuals  |              | \$2,149,321.14            |
| Lowest Amount**                  |              | \$10,000.00               |
| Mean Dollar Value                |              | \$199,443.41              |
| Personal Injury Claims           |              | \$198,806.91              |
| Claims for Deceased Individuals  |              | \$521,969.48              |

\* Statistics for Claims for Deceased Individuals includes only those claimants who died of causes directly related to their 9/11 eligible condition.

\*\* The \$10,000.00 in the table represents the lowest amount for any claim for which compensation was calculated. Note that there are also claims submitted by claimants who were fully compensated in VCF1 (the original VCF that operated between 2001 to 2004) and do not have any new conditions. These claimants do not receive any compensation. The associated dollar value for these claims is therefore \$0.



**Table 5: Compensation Decisions by Categories of Conditions – Group A Claims  
(as of September 7, 2016)**

| COMPENSATION FORMS              | TOTAL              | Claims with Cancer as the only Condition | Claims for only Non-Cancer Conditions | Claims with both Cancer and Non-Cancer Conditions |
|---------------------------------|--------------------|--|---------------------------------------|---|
| Compensation Decisions Rendered | 9,130              | 836                                      | 7,083                                 | 1,211   |
| Total Dollar Value              | \$1,822,713,312.33 | \$280,663,075.06                         | \$1,147,971,730.14                    | \$394,078,507.13                                  |

The table below provides details on the Group A compensation decisions. Statistics on the compensation amounts by claimant category are based on the category identified by the claimant at the time the Eligibility Form was submitted.

**Table 6: Compensation Decisions – Group A Claims  
Compensation Amounts by Self-Reported Claimant Category**

*(based on the category identified by the claimant at the time the Eligibility Form was submitted)*

| COMPENSATION DECISIONS                           | Total | Dollar Value       |
|--|-------|--------------------|
| Responder-NYC                                    | 7,729 | \$1,613,053,717.46 |
| Responder-Pentagon                               | 6     | \$1,139,718.55     |
| Responder-Shanksville                            | 2     | \$60,000.00        |
| Non-Responder NYC - cleaning or maintenance work | 394   | \$37,462,180.02    |
| Non-Responder NYC - other capacity               | 702   | \$125,373,634.17   |
| Resident within NYC zone                         | 108   | \$18,438,451.42    |
| Attend school/childcare/adult care facility      | 8     | \$865,250.00       |
| NYC - Other Capacity*                            | 47    | \$6,053,518.66     |
| Non-Responder - Pentagon                         | 4     | \$1,593,537.60     |
| No Response                                      | 130   | \$18,673,304.45    |

\* Includes visitors, tourists, and any individual who was in the exposure zone during the relevant time period and is not included in another category.

### **Group B Claims**

Once a claimant has been deemed eligible, the VCF begins review of the claimant’s Compensation Form to determine how much compensation the claimant is entitled to receive from the Fund. As of September 7, 2016, 4,207 eligible Group B claimants have submitted Compensation Forms. To decide a compensation claim, the VCF must receive a signed authorization giving the VCF authority to collect information necessary to process the claim and information supporting the claimant’s request for compensation.

An additional 1,578 individuals with claims in Group B have not yet been determined eligible but have submitted Compensation Forms. These claimants’ compensation forms will be reviewed if and when the claimant is deemed eligible.

Pursuant to the Reauthorization statute, Group B compensation decisions could not be issued until the updated regulations were published as an Interim Final Rule on June 15, 2016 (the Final Rule was published September 2, 2016), and until it was confirmed that funding would be available for Group B payments in the appropriate timeframe once award letters are sent.

The VCF began reviewing Group B compensation claims shortly after the reauthorization. From January through May, the number of claims reviewed remained relatively low while resources were



shifted to focus on paying all Group A claimants in full as a priority. As claims were reviewed, the VCF began sending “Group B Complete” letters to claimants to notify them their claim had been reviewed and their award calculated. The letter also explained that the Special Master could not issue the awards on Group B claims until the updated regulations were published and the funding for Group B payments was confirmed to be available.

Of those Group B claimants with approved eligibility, 2,777 claims have the information and documents necessary to begin review and 1,450 (52 percent) of these have been reviewed and decided since January.

The VCF began issuing Group B compensation decisions on June 30, 2016, which was the earliest possible date based on the expected timing for Group B funding to be made available. The VCF began mailing Group B award letters the first week of July and all but about one dozen claimants who received a “Group B Complete” letter have been notified of the amount of their award. Those who have not yet been notified will receive their letters shortly. The VCF is now processing Group B claims in a normal cycle, meaning claimants will be notified of their award once it is decided, without the need for an interim “Group B Complete” designation.

Tables 7 and 8 show the status of Compensation Forms received by the VCF for Group B claims and information on compensation decisions. In addition to the Group B Compensation Forms, the VCF has also received compensation amendments from 1,766 claimants who received a Group A compensation determination. These amendments are processed under Group B and are not included in the table below.

**Table 7: Status of Group B Compensation Forms for Eligible Claimants**

| <b>COMPENSATION FORMS</b>   | <b>As of<br/>September 7, 2016</b> |
|---|------------------------------------|
| TOTAL Compensation Forms  | 5,785                              |
| TOTAL Compensation Forms from claimants who have been deemed Eligible   | 4,207                              |
| Compensation Forms that Can be Reviewed – forms with proper signatures/exhibits that are under review to assess whether there is sufficient information to determine loss | 2,777                              |
| Personal Injury Claims  | 2,685                              |
| Claims for Deceased Individuals*  | 92                                 |
| <b>Compensation Decisions Issued</b>  | <b>1,450</b>                       |
| Personal Injury Claims  | 1,437                              |
| Claims for Deceased Individuals   | 13                                 |
| <b>Total Dollar Value</b>   | <b>\$200,818,711.99</b>            |
| Compensation Reviews in Progress  | 1,327                              |
| Compensation Forms Missing Required Information   | 1,430                              |

\* Statistics for Claims for Deceased Individuals includes only those claimants who died of causes directly related to their 9/11 eligible condition.



**Table 8: Compensation Decisions by Categories of Conditions – Group B claims  
(as of September 7, 2016)**

| COMPENSATION FORMS     | TOTAL            | Claims with Cancer as the only Condition | Claims that do not include Cancer as a Condition | Claims with both Cancer and Non-Cancer Conditions |
|------------------------|------------------|--|--|---|
| Compensation Decisions | 1,450            | 234                                      | 972  | 244   |
| Total Dollar Value     | \$200,818,711.99 | \$69,279,095.06                          | \$71,208,671.27                                  | \$60,330,945.66                                   |

The table below provides details on the Group B compensation decisions issued resulting in award letters sent to claimants. Statistics on the compensation amounts by claimant category are based on the category identified by the claimant at the time the Eligibility Form was submitted.

**Table 9: Group B Compensation Decisions (as of September 7, 2016)**

| COMPENSATION DECISIONS RENDERED                                | TOTAL        | Dollar Value before Proration |
|--|--------------|-------------------------------|
| <b>Decisions</b>   | <b>1,450</b> | <b>\$200,818,711.99</b>       |
| Highest Amount   |              | \$3,403,114.80                |
| Lowest Amount*   |              | \$86.07                       |
| Mean Dollar Value  |              | \$156,036.30                  |
| <b>Compensation Amounts by Self-Reported Claimant Category</b> |              |                               |
| Responder-NYC  | 1,099        | \$145,712,096.54              |
| Responder-Pentagon   | 3            | \$189,000.00                  |
| Responder-Shanksville  | 3            | \$375,000.00                  |
| Non-Responder NYC - cleaning or maintenance work               | 70           | \$8,419,542.82                |
| Non-Responder NYC - other employment                           | 189          | \$33,502,754.40               |
| Resident within NYC zone                                       | 32           | \$3,809,797.12                |
| Attend school/ childcare/ adult care facility                  | 3            | \$2,489,548.23                |
| NYC – Other Capacity**   | 10           | 1,220,000.00                  |
| Non-Responder – Pentagon                                       | 2            | \$343,820.80                  |
| No Response  | 39           | \$4,757,152.08                |

\* The \$86.07 in the table represents the lowest amount for any claim for which the calculated award was greater than \$0. Note that there are also claims submitted by claimants who were fully compensated in VCF1 (the original VCF that operated between 2001 to 2004) and do not have any new conditions. These claimants do not receive any compensation and the associated dollar value for these claims is therefore \$0. There are also a number of claimants whose offsets exceed the total amount of their combined economic and non-economic loss, resulting in a \$0 award as required by the reauthorization statute.

\*\* Includes visitors, tourists, and any individual who was in the exposure zone during the relevant time period and is not included in another category.

## REGISTRATION INFORMATION

In order to allow potential claimants to meet the deadlines established in the Reauthorized Zadroga Act even if they are uncertain whether they will eventually file a claim, the VCF has continued to allow individuals an opportunity to “register” with the Fund, thereby preserving the right to file a claim any time before the VCF closes to submissions on December 18, 2020. Registration does not create an



obligation or commitment to file a claim and the Special Master expects that a large percentage of registrations will not result in claims being filed as they are either duplicates or were created by individuals who will eventually decide not to file a claim. In advance of certain deadlines, the VCF has also allowed individuals to file an "Interim Registration," which serves the same purpose as a "Registration." As of this report, 69,264 registrations have been filed with the VCF.